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| | | Application Number | | | 09/539,918 | | | |
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| FOI | RM espondence after init | tial | First Named Inventor | | mes M. Florence | | | |
| filin | · g) | | Group Art Unit | 28 | 371 | | | |
| | | | Examiner Name | Jea | nne A. Di Grazio | | | |
| Total Number of Pages in Submission | this . | | Attorney Docket Number | KL | R:7146.0063 | | | |
| | , | ENCL | OSURES (check all that ar | ply) | | | | |
| ☑ Fee attached form ap | | | ignment Papers (for an cation) | | ☐ After Allowance Communicati to Group | | | |
| ☐ Amendment ☐ After Final/ Re | | _i Dra | wing(s) | | ☐ Appeal Communication to Boat of Appeals and Interferences | | | |
| ☐Affidavits/De | eclaration(s) | Lice | ensing Related Papers | | Appeal Communication to Gro (Appeal Notice, Brief, Reply Brief) | | | |
| ☐ Extension of Time | Request | | ition Routing Slip (PTO/S Accompanying Petition | ☐ Proprietary Information | | | | |
| ☐ Express Abandonn | nent Request | | ition to Convert to a Prov | ☐ Status Letter | | | | |
| ☑ Information Disclos | | Power of Attorney, Revocation, Change of Correspondence Address | | | Additional Enclosures (identify below) | | | |
| Certified copy of P | riority . | ☐ Terminal Disclaimer | | | Form 1449 with listed prior art; a duplicate copy of this Transmittal | | | |
| Response to Missi | • | ☐ Small Entity Statement | | | Letter; and an acknowledgment Postcard, | | | |
| ☐ Response to Missi | • |] Red | quest for Refund | | | | | |
| Under 37 CFR 1.52 or 1.53 | | | Remarks: Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein. | | | | | |
| | SIGNATU | RE OF | APPLICANT, ATTORNI | Y OR | AGENT | | | |
| Firm or Individual Nam | ie K | evin L. | evin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP | | | | | |
| Signature | | | | | | | | |
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| | | CE | RTIFICATE OF MAILING | | | | | |
| envelope addressed to: NA 22313-1450. | Mail Stop Patent Appent Appent postage as first | plication | ns (IDS-FEE), Commissione | r for Pa | United States Postal Service in an tents, Post Office Box 1450, Alexandr | | | |
| Type or print name | Kevin L. Russell | | | | | | | |
| | 11 | | | Date | January 26 2004 | | | |

Application Number 09/539,918 **TRANSMITTAL** March 31, 2000 Filing Date **FORM** James M. Florence **First Named** Inventor be used for all correspondence after initial filing) Group Art Unit 2871 Jeanne A. Di Grazio **Examiner Name** Total Number of Pages in this Attorney Docket KLR:7146.0063 Submission Number ENCLOSURES (check all that apply) Assignment Papers (for an ☐ After Allowance Communication application) to Group ☐ Amendment ☐ Drawing(s) ☐ Appeal Communication to Board of Appeals and Interferences ☐ After Final/ Response ☐ Affidavits/Declaration(s) ☐ Licensing Related Papers ☐Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ Petition Routing Slip (PTO/SB/69) □ Proprietary Information ☐ Extension of Time Request and Accompanying Petition ☐ Express Abandonment Request ☐ Petition to Convert to a Provisional I Status Letter Application ☑ Information Disclosure Statement ☐ Power of Attorney, Revocation, Additional Enclosures Change of Correspondence Address (identify below) Form 1449 with listed prior art; a ☐ Certified copy of Priority ☐ Terminal Disclaimer duplicate copy of this Transmittal **Documents** Letter; and an acknowledgment ☐ Response to Missing Parts/ ☐ Small Entity Statement Postcard, Incomplete Application ☐ Request for Refund ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53 Remarks: Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein. SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| Date | January 2004 | | | | | | |
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| | CERTIFICAT | TE OF MAILING | | | | | |
| envelope addressed to: VA 22313-1450. | the date below, this correspondence is Mail Stop Patent Applications (IDS-FE cient postage as first class mail as Mail Post Office to Addressee" - ma | EE), Commissioner for Pa | United States Postal Service in an tents, Post Office Box 1450, Alexandria, | | | | |
| Type or print name | Kevin L. Russell | / | - 17 Th. 101 to 1 | | | | |
| Signature | m | Date | January 6 2004 | | | | |

Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP

Firm or Individual Name

Signature

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| for FY 2004 | Filing Date | | | 1 | March 31, 2000 | | | |
| Patent fees are subject to annual revision. | | First Na | amed I | nventor | | James M. F | lorence | |
| | | Examir | er Na | me | | Jeanne A. [| Di Grazio | |
| Applicant claims small entity status. See 37CFR 1.27 | _ | Art Uni | · | <u> </u> | 7 | 2871 | <u> </u> | |
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| Charge fees indicated below Credit any overpayments A cupilicate of this letter is included with this authorization | 1812 | | l | | _ | • | parte reexamination | |
| Charge any additional fee(s) during the pendency of this | 1804 | • | 1804 | • | | , | SIR prior to Examiner | |
| application Charge any fee(s) indicated below, except for the filing fee to the | 1805 | 1 840* | 1805 | а | action | | SIR after Examiner | |
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| 1001 750 2001 375 Utility filing fee | 1401 | | 2401 | 160 N | Notice of | Appeal | | |
| 1002 330 2002 165 Design filing fee | 1402 | 320 | 2402 | 160 F | Filing a br | ief in support of | an appeal | |
| 1003 520 2003 260 Plant filing fee | 1403 | 280 | 2403 | 140 F | Request f | or oral hearing | | |
| 1004 750 2004 375 Reissue filing fee | 1451 | 1,510 | 1451 | 1,510 F | Petition to | institute a publi | c use proceeding | |
| 1005 160 2005 80 Provisional filing fee 80 | 1452 | | 2452 | 55 F | Petition to | revive - unavoi | dable | |
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| Total Claims - 20 **= 0 x 9 = 0 | 1460 | | 1460 | | | o the Commissi | | |
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| 1201 84 2201 42 Independent claims in excess of 3 | 1810 | 750 | 2810 | 375 F | or each | additional inven | tion to be examined | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1801 | 750 | 2801 | | 37 CFR 1 Request f | | camination (RCE) | - |
| 1204 84 2204 42 **Reissue independent claims over original patent | 1802 | | 1802 | 900 F | | or expedited ex | amination of a design | |
| 1205 18 2205 9 *Reissue claims in excess of 20 and over original patent | Other | fee (sp | ecify) | · · | | | | |
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| **or number of previously paid, if greater. For reissues, see above. | | | | | | | | |
| SUBMITTED BY | , | | | | | Co | mplete (if applicable) | |
| Name (print type) Kevin L. Russell | Regis | tration | No. | 38,2 | 92 | Telephone | (503) 227-5 | 631 |
| Signature | | | | | | Date | | |
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| | | Examiner Name Jeanne A. D | | |)i Grazio | | | | |
| Applicant claims small entity status. See 37CFR 1.27 | | Art Unit 2871 | | | | | | | |
| Applicant claims small entity status. See 37CFR 1.27 | | Attorne | v Doc | ket No. | KLR: 7146.006 | 63 | | | |
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| The Commissioner is authorized to:(check all that apply) | 1053 | 130 | 1053 | 130 N | on-English specification | | | | |
| Charge fees indicated below Credit any overpayments A duplicate of this letter is included with this authorization | 1812 | 2,520 | 1812 | 2,520 F | or filing a request for ex-p | parte reexamination | | | |
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| 1. BASIC FILING FEE | 1253 | | 2253 | | xtension for reply within t | | | | |
| Large Entity Small Entity Fee Description Fee Paid | 1254 | 1,450 | | _ | xtension for reply within f | | | | |
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| original patent | Other | r fee (sp | ecify) | | | | | | |
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| **or number of previously paid, if greater. For reissues, see above. | <u> </u> | , <u>-</u> | | | | | | | |
| SUBMITTED BY | | | | | | mplete (if applicable) | | | |
| Name (print type) Kevin L. Russell | Regis | stration | No. | 38,29 | | (503) 227-5 | 5631 | | |
| Signature | | | | | Date | | | | |
| 1 un | | | | | Jan. 262004 | | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION EXAMINING OPERATIONS

Applicant

: James M. Florence

Group Art Unit:

2871

Serial No.

: 09/539,918

Examiner

Jeanne A. Di Grazio

Filed

: March 31, 2000

Title

: PROJECTION DISPLAY SYSTEMS FOR LIGHT VALVES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower 601 S.W. Second Avenue Portland, Oregon 97204-3157 January 26, 2004

Mail Stop Patent Applications (IDS-FEE) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith copies of publications of which he is aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Supplemental Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits of the above-identified application. Accordingly we enclose the required fee of \$180.

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In accordance with 37 CFR §1.97(h), the filing of this Supplemental Information

Disclosure Statement will not be regarded as an admission that any patent or publication or

combination of patents referred to herein is, or is considered to be, material to patentability under

37 CFR §1.56(b) unless specifically designated as such.

Enclosed herewith is PTO-1449 Form listing the enclosed references. Applicant would respectfully request that the Examiner initial this form, where indicated, and return an acknowledgment copy to the applicant to confirm that the listed references were received and considered.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell

Reg. No. 38,292 Attorney for Applicant

Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS-FEE), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on January 26, 2004.

Dated: January 26, 2004

Kevin L. Russell

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| KLR 7146.0063 | SERIAL NO. 09/539,918 | | |
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| APPLICANT James M. Florence | | | |
| FILING DATE March 31, 2000 | GROUP 2871 | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE FILED | COUNTRY | CLASS | SUBCLASS | TRAN | SLATION |
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| • | | | | | | | YES | NO |
| | ВА | 450432 | 15-12-17 | Japan | | | | |
| | ВВ | Publication No. 03- 046692 | Published 27-02- 1991 | Japan | | | | |
| | ВС | Publication No. 03- 202846 | Published 04-09- 1991 | Japan | | | | |
| | BD | Publication No. 07- 218909 | Published 18-08- 1995 | Japan | | | | |
| | BE | Publication No. 10- 186548 | Published 14-07- 1998 | Japan | | | | |
| | BF | Publication No. 11- 271893 | Published 08-10- 1999 | Japan | | | | |
| | BG | Publication No. 11- 305189 | Published 05-11- 1999 | Japan | | | | |
| , | вн | Publication No. 11- 326861 | Published 26-11- 1999 | Japan | | | | |
| | BI | Publication No. 2000- 019326 | Published 21-01- 2000 | Japan | | | | |
| | ВЈ | Publication No. 2000- 019455 | Published 21-01- 2000 | Japan | | | | |

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| 1 P | BL | Publication No. 2000- 180792 | Published 30-06- 2000 | Japan | | | | | |
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Q:\Bonnie Dillon\KLR\SHARP\Patents\0063\1449 Disclosure Form.wpd; January 26, 2004 (3:11PM)

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.